# General neighbour-distinguishing index of a graph

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#### Abstract

It is proved that edges of a graph G can be coloured using  $\chi(G) + 2$  colours so that any two adjacent vertices have distinct sets of colours of their incident edges. In the case of a bipartite graph three colours are sufficient.

**Keywords:** colour set, neighbour-distinguishing edge colouring, general neighbour-distinguishing index

# 1 Introduction

All graphs we deal with in this paper are simple and finite. Let G be a graph and k a non-negative integer. A (general) k-edge-colouring of G is a mapping  $\varphi : E(G) \to \bigcup_{i=1}^{k} \{i\}$ . The colour set (with respect to  $\varphi$ ) of a vertex  $x \in V(G)$ is the set  $S_{\varphi}(x)$  of colours of edges incident to x. The colouring  $\varphi$  is neighbourdistinguishing if  $S_{\varphi}(x) \neq S_{\varphi}(y)$  whenever vertices x, y are adjacent. A neighbourdistinguishing colouring will be frequently shortened to an *nd*-colouring. The general neighbour-distinguishing index of G is the minimum k in a general k-edgecolouring of G that is neighbour-distinguishing, and will be denoted as  $\operatorname{gndi}(G)$ . If G has an isolated edge, then G does not have any nd-colouring, hence for

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the sake of the completeness of the definition in such a case we set  $\text{gndi}(G) := \infty$ . For a disconnected graph G with connected components we have evidently  $\text{gndi}(G) = \max (\text{gndi}(G_i) : i = 1, ..., n)$ , hence our analysis of the general neighbour-distinguishing index can be restricted to connected graphs.

The general neighbour-distinguishing index is a relaxation of two known graph invariants. If  $S_{\varphi}(x) \neq S_{\varphi}(y)$  is required for any two distinct vertices x, y, the corresponding parameter  $\chi_0(G)$ , called the *point-distinguishing chromatic index* of G, has been introduced by Harary and Plantholt in [3]. The authors proved, among other things, that  $\chi_0(K_n) = \lceil \log_2 n \rceil + 1$  for any  $n \geq 3$ . In spite of the fact that the structure of complete bipartite graphs is simple, it seems that the problem of determining  $\chi_0(K_{m,n})$  is not easy, especially in the case m = n, as documented by papers of Zagaglia Salvi [8], [9], Horňák and Soták [5], [6] and Horňák and Zagaglia Salvi [7].

On the other hand, if only proper nd-colourings are considered, the neighbour-distinguishing index of G, symbolically  $\operatorname{ndi}(G)$ , is obtained. This invariant has been introduced only recently by Zhang et al. in [10]. It is easy to see that  $\operatorname{ndi}(C_5) = 5$  and in [10] it is conjectured that  $\operatorname{ndi}(G) \leq \Delta(G) + 2$  for any connected graph  $G \notin \{K_2, C_5\}$ . The conjecture has been confirmed by Balister et al. in [1] for bipartite graphs and for graphs G with  $\Delta(G) = 3$ . Edwards et al. in [2] have shown even that  $\operatorname{ndi}(G) \leq \Delta(G) + 1$  if G is bipartite, planar, and of maximum degree  $\Delta(G) \geq 12$ . In the general case a weaker statement  $\operatorname{ndi}(G) \leq \Delta(G) + 300$  has been proved by Hatami in [4] for all graphs G with  $\Delta(G) > 10^{20}$ .

For  $p, q \in \mathbb{Z}$  we denote by [p, q] the *integer interval* lower bounded by p and upper bounded by q, i.e.,  $[p, q] := \bigcup_{i=p}^{q} \{i\}$ . Let n and  $l_1, \ldots, l_n$  be non-negative integers. The *concatenation* of finite sequences  $A_i = (a_i^1, \ldots, a_i^{l_i}), i = 1, \ldots, n$ , is defined as the sequence  $\prod_{i=1}^n A_i := (a_1^1, \ldots, a_1^{l_1}, \ldots, a_n^{l_n}, \ldots, a_n^{l_n})$ . If  $A_i = A$  for each  $i \in [1, n]$ , we write  $A^n$  instead of  $\prod_{i=1}^n A$ . If n = 0,  $A^n$  is the empty sequence ().

Let G be a graph let  $x, y \in V(G)$ . By  $\deg_G(x)$  we denote the degree of x in G and by  $d_G(x, y)$  the distance between x and y in G. An arm of a tree T is a maximal (non-extendable) subpath A of T such that  $\deg_A(x) = \deg_T(x) = 2$  for any internal vertex  $x \in V(A)$ . Let a(T) denote the number of arms of T. If T is (isomorphic to) an n-vertex path  $P_n$ , then a(T) = 1 and T itself is the only arm of T. On the other hand, if  $\Delta(T) \geq 3$ , any arm A of T has one endvertex of degree one, the other of degree at least three and a(T) is equal to the number of pendant vertices of T.

The main aim of the present paper is to show that if  $\chi(G) \geq 3$ , then  $\text{gndi}(G) \leq \chi(G) + 2$ . As an easy consequence of this bound we obtain the inequality  $\text{gndi}(G) \leq \Delta(G) + 2$ .

## 2 Paths, cycles, trees and bipartite graphs

**Proposition 1.** For any graph G the following statements are equivalent:

(1) gndi(G) = 2.

(2) G is bipartite and there is a bipartition  $\{X_1 \cup X_2, Y\}$  of V(G) such that  $X_1 \cap X_2 = \emptyset$  and any vertex of Y has at least one neighbour in both  $X_1$  and  $X_2$ .

Proof. (1)  $\Rightarrow$  (2): Consider an nd-colouring  $\varphi : E(G) \rightarrow [1,2]$ . The only three available non-empty colour sets are {1}, {2} and {1,2}. Since {1}  $\cap$  {2}  $= \emptyset$ , for any  $xy \in E(G)$  exactly one of  $S_{\varphi}(x)$  and  $S_{\varphi}(y)$  is equal to {1,2}. Let  $Y := \{y \in V(G) : S_{\varphi}(y) = \{1,2\}\}$  and let  $X_i := \{x \in V(G) : S_{\varphi}(x) = \{i\}\}, i = 1, 2$ . Clearly,  $X_1 \cap X_2 = \emptyset$ ,  $(X_1 \cup X_2) \cap Y = \emptyset$ , any edge of G joins a vertex of  $X_1 \cup X_2$  to a vertex of Y, and any vertex of Y has at least one neighbour in both  $X_1$  and  $X_2$ .

 $(2) \Rightarrow (1)$ : Let the colouring  $\varphi : E(G) \rightarrow [1,2]$  be defined so that  $\varphi(xy) = i$ if and only if  $x \in X_i$  and  $y \in Y$ , i = 1, 2. Then  $S_{\varphi}(x) = \{i\}$  for any  $x \in X_i$ ,  $i = 1, 2, S_{\varphi}(y) = \{1, 2\}$  for any  $y \in Y$ , and so  $\varphi$  is neighbour-distinguishing.  $\Box$ 

An nd-colouring  $\varphi : E(G) \to [1,3]$  of a bipartite graph G is said to be canonical if there is a canonical ordered bipartition (X,Y) of V(G), one that satisfies  $S_{\varphi}(x) \in \mathcal{S}_1 := \{\{1\}, \{2\}, \{1,3\}, \{2,3\}\}$  for every  $x \in X$  and  $S_{\varphi}(y) \in \mathcal{S}_2 :=$  $\{\{3\}, \{1,2\}\}$  for every  $y \in Y$ . The set  $\mathcal{S}_1$  has the following important property: whenever  $S \in \mathcal{S}_1$ , then also  $S \cup \{3\} \in \mathcal{S}_1$ . A canonical nd-colouring  $\varphi$  of a tree T is 3-canonical if  $S_{\varphi}(v) \neq \{3\}$  for any vertex  $v \in V(T)$  with  $\deg_T(v) \geq 2$ . A 3-canonical nd-colouring  $\varphi$  of a path  $P_n$  is (3, i)-canonical,  $i \in [1, 2]$ , if there is a pendant edge  $e \in E(P_n)$  such that  $\varphi(e) = i$ .

**Proposition 2.** Let n be an integer,  $n \ge 3$ , and let  $i \in [1, 2]$ .

1. If n is odd, then  $gndi(P_n) = 2$  and there is a (3, i)-canonical nd-colouring  $\varphi : E(P_n) \to [1, 2].$ 

2. If n is even, then  $gndi(P_n) = 3$  and there is a (3, i)-canonical nd-colouring  $\varphi : E(P_n) \to [1, 3].$ 

*Proof.* Let us first show that  $gndi(P_n) = 2$  implies  $n \equiv 1 \pmod{2}$ . Suppose that  $gndi(P_n) = 2$  and let  $\{X_1 \cup X_2, Y\}$  be the bipartition of  $V(P_n)$  yielded by Proposition 1. The natural sequence of vertices of  $P_n$  (from one endvertex to the other) is an alternating sequence of vertices from  $X_1 \cup X_2$  and Y that starts and ends with a vertex of  $X_1 \cup X_2$ . Therefore  $|X_1 \cup X_2| = |Y| + 1$  and n is odd.

Further, if  $\varphi$  is a (3,1)-canonical nd-colouring of  $P_n$ , then the colouring  $\tilde{\varphi}$ , defined by  $\varphi(e) = 3 \Rightarrow \tilde{\varphi}(e) = 3$  and  $\varphi(e) = k \in [1,2] \Rightarrow \tilde{\varphi}(e) = 3 - k$ , is a (3,2)-canonical nd-colouring of  $P_n$ , and uses the same number of colours as  $\varphi$  does.

Now, it is sufficient to present a (3, 1)-canonical nd-colouring of  $P_n$  using the appropriate number of colours. Such a colouring is in a natural way determined

by the sequence of colours of consecutive edges of  $P_n$ . Since  $n \ge 3$ , there is a positive integer j and  $k \in [-1,2]$  such that n = 4j + k. For k = -1, 0, 1, 2 we can use successively the sequences  $(1,2)(2,1,1,2)^{j-1}$ ,  $(3,2,1)(1,2,2,1)^{j-1}$ ,  $(1,2,2,1)^j$  and  $(3)(1,2,2,1)^j$ .

**Proposition 3.** Let n be an integer,  $n \ge 3$ . 1. If  $n \equiv 0 \pmod{4}$ , then  $\operatorname{gndi}(C_n) = 2$ . 2. If  $n \not\equiv 0 \pmod{4}$ , then  $\operatorname{gndi}(C_n) = 3$ .

*Proof.* Similarly as in the proof of Proposition 2 we start by showing that  $gndi(C_n) = 2$  implies  $n \equiv 0 \pmod{4}$ . Suppose that  $gndi(C_n) = 2$  and let  $\{X_1 \cup X_2, Y\}$  be the bipartition of  $V(C_n)$  from Proposition 1. Pick a vertex  $y \in Y$ , take his unique neighbour  $x_1 \in X_1$  and consider the natural sequence of vertices of  $C_n$  given by the ordered pair  $(y, x_1)$  that ends with the other neighbour  $x_2 \in X_2$  of y. This sequence is built up by concatenating ordered 4-tuples of vertices belonging successively to  $Y, X_1, Y$  and  $X_2$ , hence  $n \equiv 0 \pmod{4}$ .

Now, the following (cyclic) sequences represent an nd-colouring of  $C_n$  with the minimum possible number of colours successively for n = 4j - 1, 4j, 4j + 1, 4j + 2: (1,2,3)(1,2,2,1)<sup>j-1</sup>, (1,2,2,1)<sup>j</sup>, (1,2,2,3,1)(1,2,2,1)<sup>j-1</sup>, (1,2,3)<sup>2</sup>(1,2,2,1)<sup>j-1</sup>.

**Theorem 4.** If T is a tree with  $|E(T)| \ge 2$ , then  $gndi(T) \le 3$ .

*Proof.* We prove by induction on a(T) a stronger statement, namely that there is a 3-canonical nd-colouring of T. If a(T) = 1, there is  $n \ge 3$  such that  $T \simeq P_n$  and we are done by Proposition 2.

Suppose that a(T) > 1 and there is a 3-canonical nd-colouring of an arbitrary tree T' with a(T') < a(T). Consider a pendant vertex  $x \in V(T)$  and such a vertex  $y \in V(T)$  with  $\deg_T(y) \ge 3$  that  $d_T(x, y)$  is minimal. The subpath A of Twith endvertices x and y is an arm of T and  $T' := T - (V(A) - \{y\})$  is a subtree of T with  $a(T') \le a(T) - 1$  and  $|E(T')| \ge 2$ . By the induction hypothesis there is a 3-canonical nd-colouring  $\varphi' : E(T') \to [1,3]$ . Let (X',Y') be a canonical ordered bipartition of V(T') (there is one corresponding to  $\varphi'$ ). A 3-canonical nd-colouring  $\psi : E(T) \to [1,3]$  will be found as a continuation of  $\varphi'$ .

(1)  $V(A) = \{x, y\}$ 

(11) If  $S_{\varphi'}(y) \neq \{1,2\}$ , then  $S_{\varphi'}(y) \in \mathcal{S}_1$ . Defining  $\psi(xy) := 3$  yields  $S_{\psi}(y) = S_{\varphi'}(y) \cup \{3\} \in \mathcal{S}_1$ ,  $S_{\psi}(x) = \{3\} \in \mathcal{S}_2$  and  $(X', Y' \cup \{x\})$  is the canonical ordered bipartition of V(T).

(12) If  $S_{\varphi'}(y) = \{1, 2\}$ , set  $\psi(xy) := 1$ . Then  $S_{\psi}(x) = \{1\} \in \mathcal{S}_1, S_{\psi}(y) = \{1, 2\} \in \mathcal{S}_2$  and  $(X' \cup \{x\}, Y')$  is the canonical ordered bipartition of V(T).

(2) Provided that  $|V(A)| \geq 3$ , let z be the unique neighbour of y in A. Since  $\deg_{T'}(y) = \deg_T(y) - 1 \geq 2$  and the colouring  $\varphi'$  is 3-canonical, there is  $i \in S_{\varphi'}(y) \cap [1,2]$ . By Proposition 2 there exists a (3,i)-canonical nd-colouring  $\varphi : E(A) \to [1,3]$  with  $\varphi(yz) = i$ . Clearly, if (X,Y) is the canonical ordered bipartition of V(A), then  $y \in X, z \in Y$  and  $S_{\varphi}(z) = \{1,2\}$ . (21) If  $S_{\varphi'}(y) \neq \{1,2\}$ , let  $\psi$  be the common continuation of both  $\varphi'$  and  $\varphi$ . In such a case  $S_{\psi}(v) = S_{\varphi'}(v)$  for any  $v \in V(T')$ ,  $S_{\psi}(v) = S_{\varphi}(v)$  for any  $v \in V(A) - \{y\}$  and the canonical ordered bipartition of V(T) is  $(X' \cup X, Y' \cup Y)$ . (22) If  $S_{\varphi'}(y) = \{1,2\}$ , then  $y \in Y'$ .

(221) If  $V(A) = \{x, y, z\}$ , set  $\psi(yz) := 2$  and  $\psi(zx) := 3$  to obtain  $S_{\varphi}(y) = \{1, 2\} \in \mathcal{S}_2$ ,  $S_{\psi}(z) = \{2, 3\} \in \mathcal{S}_1$  and  $S_{\psi}(x) = \{3\} \in \mathcal{S}_2$ ; the canonical ordered bipartition of V(T) is  $(X' \cup \{z\}, Y' \cup \{x\})$ .

(222) If  $|V(A)| \ge 4$ , then  $A^- := A - y$  is a path on  $|V(A)| - 1 \ge 3$  vertices. By Proposition 2 there is a (3,1)-canonical nd-colouring  $\varphi^- : E(A^-) \to [1,3]$  such that  $S_{\varphi^-}(z) = \{1\}$ ; if  $(X^-, Y^-)$  is the canonical ordered bipartition of  $V(A^-)$ , then  $z \in X^-$ . The continuation  $\psi$  of both  $\varphi'$  and  $\varphi^-$  with  $\psi(yz) := 1$  satisfies  $S_{\psi}(v) = S_{\varphi'}(v)$  for any  $v \in V(T')$ ,  $S_{\psi}(v) = S_{\varphi^-}(v)$  for any  $v \in V(A^-)$  and  $(X' \cup X^-, Y' \cup Y^-)$  is the canonical ordered bipartition of V(T).  $\Box$ 

**Theorem 5.** If G is a connected bipartite graph with  $|E(G)| \ge 2$ , then  $gndi(G) \le 3$ .

*Proof.* We prove by induction on diff(G) := |E(G)| - |V(G)| that there is a canonical nd-colouring of G. If diff(G) = -1, then G is a tree and we can use Theorem 4.

Assume that  $\operatorname{diff}(G) \geq 0$  and there is a canonical nd-colouring of any connected bipartite graph H satisfying  $|E(H)| \geq 2$  and  $\operatorname{diff}(H) < \operatorname{diff}(G)$ . From  $\operatorname{diff}(G) \geq 0$  if follows that there is a cycle C in G (of an even length). If  $xy \in E(C)$ , then by the induction hypothesis for the connected graph H := G - xywith  $|E(H)| = |E(G)| - 1 \geq 3$  and  $\operatorname{diff}(H) = \operatorname{diff}(G) - 1$  there exists a canonical nd-colouring  $\varphi : E(H) \to [1,3]$  with a canonical ordered bipartition (X,Y) of V(H). Without loss of generality we may suppose that  $x \in X$  and  $y \in Y$ . Then there is a canonical nd-colouring  $\psi : E(G) \to [1,3]$  that is a continuation of  $\varphi$ and has the canonical ordered bipartion (X,Y) of V(G) = V(H).

Namely, if  $S_{\varphi}(x) \cap S_{\varphi}(y) \neq \emptyset$ , using  $\psi(xy) \in S_{\varphi}(x) \cap S_{\varphi}(y)$  leads to  $S_{\psi}(x) = S_{\varphi}(x)$  and  $S_{\psi}(y) = S_{\varphi}(y)$ .

If  $S_{\varphi}(x) \cap S_{\varphi}(y) = \emptyset$ , there is  $i \in [1, 2]$  such that  $S_{\varphi}(x) = \{i\}$  and  $S_{\varphi}(y) = \{3\}$ ; in such a case setting  $\psi(xy) := 3$  yields  $S_{\psi}(x) = \{i, 3\} \in \mathcal{S}_1$  and  $S_{\psi}(y) = \{3\} \in \mathcal{S}_2$ .

## 3 Main result

Let G be a connected k-chromatic graph,  $k \geq 3$ . Any proper vertex k-colouring of G can be seen as a sequence  $(V_1, \ldots, V_k)$  such that  $\{V_i : i \in [1, k]\}$  is a decomposition of V(G) with the following property: whenever  $xy \in E(G), x \in V_i$ and  $y \in V_j$ , then  $i \neq j$ . We denote by  $\operatorname{Col}_k(G)$  the set of all sequences  $(V_1, \ldots, V_k)$ described above. For  $\mathcal{V} = (V_1, \ldots, V_k) \in \operatorname{Col}_k(G)$  and  $i, j \in [1, k], i \neq j$ , let  $E_{i,j}(\mathcal{V})$  be the set of all edges of G joining a vertex of  $V_i$  to a vertex of  $V_j$ , define  $e_{i,j}(\mathcal{V}) := |E_{i,j}(\mathcal{V})|, e_i(\mathcal{V}) := \sum_{j=1}^{i-1} e_{i,j}(\mathcal{V}) + \sum_{j=i+1}^k e_{i,j}(\mathcal{V}) \text{ and } e(\mathcal{V}) := (e_1(\mathcal{V}), \dots, e_k(\mathcal{V})).$ 

**Lemma 6.** Let G be a connected graph with  $k = \chi(G) \geq 3$  and let  $\hat{\mathcal{V}} = (\hat{V}_1, \ldots, \hat{V}_k) \in \operatorname{Col}_k(G)$  be a sequence lexicographically maximal in the set  $\operatorname{Col}_k(G)$ . Then the following hold:

1. For any  $i \in [2,k]$ ,  $x \in \hat{V}_i$  and  $j \in [1,i-1]$  there is  $y \in \hat{V}_j$  such that  $xy \in E(G)$ .

2. Pendant vertices of G belong to  $\hat{V}_1 \cup \hat{V}_2$ .

3. If a pendant edge  $xy \in E_{1,2}(\hat{\mathcal{V}})$  is not adjacent to any edge of  $E_{1,2}(\hat{\mathcal{V}})$ , then its pendant vertex x is in  $\hat{V}_2$ .

*Proof.* 1. If there is  $i \in [2, k]$ ,  $x \in \hat{V}_i$  and  $j \in [1, i - 1]$  such that  $xy \notin E(G)$  for each  $y \in \hat{V}_j$ , then

$$\mathcal{V} := \prod_{l=1}^{j-1} (\hat{V}_l) (\hat{V}_j \cup \{x\}) \prod_{l=j+1}^{i-1} (\hat{V}_l) (\hat{V}_i - \{x\}) \prod_{l=i+1}^k (\hat{V}_l) \in \operatorname{Col}_k(G)$$

and the sequence

$$e(\mathcal{V}) = \prod_{l=1}^{j-1} (e_l(\hat{\mathcal{V}}))(e_j(\hat{\mathcal{V}}) + \deg_G(x)) \prod_{l=j+1}^{i-1} (e_l(\hat{\mathcal{V}}))(e_i(\hat{\mathcal{V}}) - \deg_G(x)) \prod_{l=i+1}^k (e_l(\hat{\mathcal{V}}))(e_i(\hat{\mathcal{V}}))(e_i(\hat{\mathcal{V}}))(e_i(\hat{\mathcal{V}}) - (e_i(\hat{\mathcal{V}})))(e_i(\hat{\mathcal{V}}))(e_i(\hat{\mathcal{V}}))(e_i(\hat{\mathcal{V}}))(e_i(\hat{\mathcal{V}}))(e_i(\hat{\mathcal{V}}))(e_i(\hat{\mathcal{V})})(e_i(\hat{\mathcal{V})})(e_i(\hat{\mathcal{V}}))(e_i(\hat{\mathcal{V}}))(e_i(\hat{\mathcal{V}}))(e_i(\hat{\mathcal{V}}))(e_i(\hat{\mathcal{V}}))(e_i(\hat{\mathcal{V}}))(e_i(\hat{\mathcal{V}}))(e_i(\hat{\mathcal{V})})(e_i(\hat{\mathcal{V}}))(e_i(\hat{\mathcal{V}}))(e_i($$

is lexicographically greater than  $e(\hat{\mathcal{V}})$ , a contradiction.

- 2. A consequence of Lemma 6.1.
- 3. If  $x \in \hat{V}_1$ , then  $\deg_G(y) \ge 2$  (note that  $|E(G)| \ge 3$ ),

$$\mathcal{V} := (\hat{V}_1 - \{x\} \cup \{y\}, \hat{V}_2 - \{y\} \cup \{x\}) \prod_{l=3}^k (\hat{V}_l) \in \operatorname{Col}_k(G)$$

and the sequence

$$e(\mathcal{V}) = (e_1(\hat{\mathcal{V}}) + \deg_G(y) - 1, e_2(\hat{\mathcal{V}}) + 1 - \deg_G(y)) \prod_{l=3}^k (e_l(\hat{\mathcal{V}}))$$

is lexicographically greater than  $e(\hat{\mathcal{V}})$ , which is not possible.

**Theorem 7.** If G is a connected graph with  $\chi(G) \geq 3$ , then  $\text{gndi}(G) \leq \chi(G) + 2$ .

Proof. Set  $k := \chi(G)$  and let  $(\hat{V}_1, \ldots, \hat{V}_k) \in \operatorname{Col}_k(G)$  be a sequence that is lexicographically maximal in  $\operatorname{Col}_k(G)$ . The graph  $G_{1,2}$ , induced in G on the vertex set  $\hat{V}_1 \cup \hat{V}_2$ , is bipartite. Therefore, if  $\hat{E} \subseteq E_{1,2}(\hat{\mathcal{V}})$  is the set of all isolated edges of  $G_{1,2}$ , by Theorem 5 we have  $\operatorname{gndi}(G_{1,2} - \hat{E}) \leq 3$  and there is an nd-colouring  $\varphi : E(G_{1,2} - \hat{E}) \to [1,3].$  We are going to find an nd-colouring  $\psi : E(G) \to [1, k+2]$  as a continuation of  $\varphi$ . Namely, we define  $\psi(e) := 1$  for any  $e \in \hat{E}$ ,  $\psi(e) := k+2$  for any  $e \in E_{1,j}(\hat{\mathcal{V}})$  with  $j \in [2, k]$  and  $\psi(e) := j+1$  for any  $e \in E_{i,j}(\hat{\mathcal{V}})$  with  $i \in [2, k-1]$  and  $j \in [i+1, k]$ .

Let us check that  $\psi$  is an nd-colouring. For that purpose consider vertices  $u \in \hat{V}_i$  with  $i \in [1, k-1]$  and  $v \in \hat{V}_i$  with  $j \in [i+1, k]$  such that  $uv \in E(G)$ .

If (i, j) = (1, 2) and  $uv \notin \hat{E}$ , then  $S_{\varphi}(u) \subseteq S_{\psi}(u) \subseteq S_{\varphi}(u) \cup \{k + 2\}$  and  $S_{\varphi}(v) \subseteq S_{\psi}(v) \subseteq S_{\varphi}(v) \cup [4, k + 1]$ ; as  $S_{\varphi}(u) \neq S_{\varphi}(v)$  and both  $S_{\varphi}(u), S_{\varphi}(v)$  are subsets of [1,3], it is clear that also  $S_{\psi}(u) \neq S_{\psi}(v)$ .

If (i, j) = (1, 2) and  $uv \in \hat{E}$ , then, by Lemma 6.3, the vertex u is not pendant, hence has a neighbour in  $\bigcup_{l=3}^{k} \hat{V}_l$  and  $S_{\psi}(u) = \{1, k+2\} \neq S_{\psi}(v) \subseteq \{1\} \cup [4, k+1]$ .

Suppose that  $j \in [3, k]$ . From Lemma 6.1 we obtain  $j + 1 \in S_{\psi}(v) \subseteq [j + 1, k + 2]$ . If i = 1, then  $j + 1 \notin S_{\psi}(u) \subseteq \{1, 2, 3, k + 2\}$ . If i = 2, then  $S_{\psi}(u) \cap [1, 3] \neq \emptyset$ , while  $S_{\psi}(v) \cap [1, 3] = \emptyset$ . Finally, if  $i \in [3, j - 1]$ , Lemma 6.1 yields  $i + 1 \in S_{\psi}(u) - S_{\psi}(v)$ .

Thus  $uv \in E(G)$  implies  $S_{\psi}(u) \neq S_{\psi}(v)$  and we are done.

**Corollary 8.** If G is a connected planar graph with  $|E(G)| \ge 2$ , then  $gndi(G) \le 6$ .

It may be a little bit surprising that gndi(I) = 3 for the icosahedron graph I. In fact, we do not know any planar graph whose general neighbour-distinguishing index is greater than 3.

**Problem 1.** Does there exist a planar graph G with  $gndi(G) \in [4, 6]$ ?

**Theorem 9.** If n is an integer,  $n \ge 3$ , then  $\operatorname{gndi}(K_n) = \lfloor \log_2 n \rfloor + 1$ .

*Proof.* In an nd-colouring of  $K_n$  any two distinct vertices must have distinct colour sets. So,  $gndi(K_n) = \chi_0(K_n)$  and the result follows from [3].

**Corollary 10.** If G is a connected graph with  $|E(G)| \ge 2$ , then  $gndi(G) \le \Delta(G) + 2$ .

*Proof.* If there is  $n \geq 3$  such that  $G \simeq C_n$  or  $G \simeq K_n$ , use Proposition 3 or Theorem 9. Otherwise, by Brooks' Theorem,  $\chi(G) \leq \Delta(G)$ , and the statement follows from Theorem 7.

We conjecture that Theorem 7 can be strengthened to  $gndi(G) \leq \chi(G) + 1$ .

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